

An Roinn Leanaí, Comhionannais, Míchumais, Lánpháirtíochta agus Óige Department of Children, Equality, Disability, Integration and Youth

August 2024 Access and Inclusion Model (AIM) Privacy Notice for Parents, Guardiansand Carers

Prepared by the Department of Children, Equality, Disability, Integration and Youth gov.ie

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1.0 Who we are.

The Department of Children, Equality, Disability, Integration and Youth's mission is to enhance the lives of children, young people, adults, families and communities, recognising diversity and promoting equality of opportunity.

The Department of Children, Equality, Disability, Integration and Youth (hereafter 'the Department') is committed to protecting the rights and privacy of individuals (known as 'data subjects') in accordance with both European Union and Irish data protection legislation. We place high importance on the correct, lawful and fair handling of all personal data, respecting the legal rights, privacy and trust of all individuals with whom we deal.

AIM is a national initiative that supports access to Early Learning and Childcare (ELC) with a particular focus on the Early Childhood Care and Education (ECCE) programme for children with a disability. AIM enables ELC settings and parents/guardians/carers to have timely access to universal and targeted supports for pre-school children with a disability. AIM also ensures the delivery of an inclusive ELC experience by building capacity across the Early Years sector.

AIM is a collaborative initiative which is bringing together relevant professionals in the following agencies:

- Better Start National Early Years Quality Development (hosted by Pobal)
- Health Service Executive/HSE-funded Agencies
- Pobal
- Department of Children, Equality, Disability, Integration & Youth
- Department of Education
- Department of Health
- National Council for Special Education
- City/County Childcare Committees

The AIM programme is administered by Pobal as a data processor acting on the instructions of the Department of Children, Equality, Disability and Youth, the data controller.

The EU General Data Protection Regulation ('GDPR') has been in force since 25 May 2018 and this Privacy Notice reflects the requirements of the GDPR and the Irish Data Protection Acts. The GDPR applies to any organisation that collects and stores personal data (known as a 'controller') and also any other organisation (known as a 'processor') working on the instructions of the data controller. Adherence to the legislation is required by employees, contractors, consultants, agents and third parties who have access to personal data either directly or indirectly.

This Privacy Notice governs the collection, retention, use and sharing of personal information about a child, parent/guardian/carer and ELC service under this programme.

The Department takes your privacy seriously. It is important that you know how your personal information is processed. Please take time to read this notice carefully. If you have any questions about how we use your information, please contact us (details below).

2.0 What we do.

Where the Department processes certain information relating to you, such as your name, date of birth, email address, phone number, address, physical characteristics, or location data ('personal data'), we are responsible for the protection of such data as "data controller". Data protection law requires us to provide you with the information contained in this privacy notice to outline what we do, or may do, with your personal data.

The content of this Privacy Notice contains information specifically related to the processing of data for the Access and Inclusion Model (AIM) programme. For a general overview of how personal data is handled in the Department please see the <u>DCEDIY Privacy Notice</u>

3.0 How to contact us.

You can contact the Department for any queries about our processing of your personal data in our capacity as data controller using our contact details:

By email: aim@equality.gov.ie

By post: 50 – 58, Block 1, Miesian Plaza, Baggot Street Lower, Dublin 2, D02 XW14

By phone: (01) 647 3000

You can contact Pobal, for any queries about the processing of your personal data in their capacity as data processor using the contact details:

By email: <u>dataprotection@pobal.ie</u>

By post: Data Protection Officer, Pobal, Holbrook House Holles Street Dublin 2. D02 EY84

4.0 Who we share your data with

As per above, the Department has appointed Pobal as a processor. Pobal will provide ICT and support services, as well as general administrative support to the scheme.

Personal data processed by the Department is held confidentially and is not shared with any third parties.

5.0 What personal data we handle.

We handle the personal data that you provide to us when you apply for support under the Access and Inclusion Model, together with an ELC service you will need to complete an online application. There are two applications that you can potentially complete.

1. The Access & Inclusion Profile is completed to request expert Early Years Educational advice and support (AIM Level 4 and Level 7).

2. The AIM Capital application which is completed to request equipment, aids/appliances and minor alteration grants that are deemed critical to enabling your child to participate in the ECCE programme (AIM L5).

Personal data we collect will include the following:

- Your child's name, your child's date of birth and your child's PPSN. The collection of this information is in line with the Department's registration process for all children applying to the programme.
- We will also collect your contact details (phone numbers, email addresses and home addresses).
- Further documentation which may support your child's application for additional support to access the ECCE year.

Special category data we collect will include the following:

Depending on the type of support you apply for, you may be asked to provide information about your child's functional abilities, health and medical needs and information relating to services they may have been recommended or provided.

6.0 What we do with your data.

The information that you supply through HIVE will be used to determine the type of support your child may need to meaningfully participate in the ECCE year. These may include:

Information Gathered via:	Viewed/Shared/Utilised by:	Types of support the information may be used for:
Level 4 & 7: Access & Inclusion Profile	DCEDIY , Pobal and HSE	 Phone Support to the ELC service Visit to the ELC service Therapeutic Support from the HSE (Level 6) Additional Funding for the ELC service to employ an extra member of staff or maintain a reduced ratio To support the development of 'My Inclusion Plan' for your child. To support the service and the parent to identify the appropriate Level 5 capital support.
Level 5: Capital Application Form	Pobal AIM Appraisal Team Specialised Equipment Supplier	 Need for and type of specialised equipment for the ELC service Need for and amount of funding for minor alterations Ordering and delivery of any approved specialised equipment. (Child ID)

Level 6: Therapeutic Support	HSE CDNT/Primary Care	-	Should your child require support under Level 6 from the HSE to meaningfully participate in their ECCE year, your child's information may be passed to the relevant clinician in the HSE who will review your child's information so as to advise on the most appropriate support (equipment or therapeutic support) for their inclusion within the ECCE year. *
Level 5/6/7	Early Years Specialist; Pobal Appraisal Team; HSE Therapeutic Support Services; DES Visiting Teacher; ELC Service	-	To identify the most appropriate support (equipment or therapeutic support) for their inclusion within the ELC service with a particular focus on the ECCE programme. To support their transition to primary school.

* National Health Consent will be further sought for any direct involvement from the Progressing Disability Services for Children & Young People (PDS)

The Department and the third parties which process personal data on our behalf ("processors") do not undertake any profiling or automated decision making within the meaning of those activities under data protection laws and only process your data and that of your child as necessary for delivery of supports under the programme.

7.0 Who can submit an application for a child to participate?

Only parents who are guardians can submit an application on behalf of their children. The definition of legal guardian of a child is:

- Where the child's parents are not married, the child's mother only;
- Where the child's parents are not married, the mother of the child and the child's

father or any other named person where appointed guardian further to a successful

court application for guardianship;

• Where both parents are married, the child's mother and father are legal guardians;

• Following a separation or divorce, both parents remain the child's legal guardian, even if the child is not living with them and they have not been awarded custody of the child;

• Where the children's parents are not married and the mother of the child and the child's father have entered into an agreement which has the effect of making the father the guardian of the child.

The HSE can submit an application as Carer in relation to a child who is the subject of a care order.

Where children are in the care of the HSE the following applies:

• Voluntary Care: the agreement of a guardian/guardians is required unless a Court Order has been made dispensing with that person's consent.

• Emergency Care Order: the agreement of a guardian/guardians is required. Page 6 of 11

- Interim Care Order: the agreement of a guardian/guardians is required.
- Care Order: it is good practice to seek the agreement of the guardian/guardians, however, the HSE is authorised pursuant to Section 18 to give consent where medically necessary.

Can a parent/guardian/carer withdraw their child from receiving services??

As participation is purely voluntary, the parent/guardian/carer can withdraw their agreement for their child to be involved in AIM at any time and without giving any reason. The withdrawal of their agreement will not impact their child's rights under the universal ECCE childcare programme.

8.0 Our lawful basis for processing personal data.

The Department is required to have a lawful basis for the processing of personal data. The lawful basis relied upon by the Department is that of Processing being necessary for the performance of a task carried out in the public interest in line with the provisions of Section 38 (1)(b) of the Data Protection Act, 2018 and with Article 6(1)(e) of the GDPR. Section 38(1)(b) of the Data Protection Act, 2018 states;

"The processing of personal data should be lawful to the extent that such processing is necessary and proportionate for the administration by or on behalf of a controller of any non-statutory scheme, programme or funds where the legal basis for such administration is a function of the controller conferred by or under enactment or by the constitution".

Where health data or other special category data is necessary to ensure the most suitable supports are provided under the scheme, the extra condition for the processing of special category data is Article 9(h):

"processing is necessary for the purposes of preventive or occupational medicine, for the assessment of the working capacity of the employee, medical diagnosis, the provision of health or social care or treatment or the management of health or social care systems and services on the basis of Domestic Law or pursuant to contract with a health professional and subject to the conditions and safeguards referred to in paragraph 3."

In addition, we will ensure you are informed of all processing that will take place and request an acknowledgement from you especially whenever processing of special category personal data will be necessary. The Department and its processors will respect the GDPR principles of necessity, proportionality and data minimisation whenever personal data is processed.

Participation in the AIM programme is purely voluntary and you are free to withdraw from the programme at any time. Any data already collected will be stored for as long as is necessary in keeping with our obligations under the National Archives Act 1986.

9.0 Transfers of personal data to third countries.

Your personal data processed by the Department will not be stored or processed outside the European Union or the European Economic Area

In the unlikely event that we are required to transfer information about you to a country of organisation outside the EEA, steps will be taken to ensure that any transfer of information is carefully managed to protect your privacy rights in accordance with Data Protection law

Personal data will only be transferred if appropriate safeguards are in place, and on the condition that enforceable data subject rights and effective legal remedies are available. Appropriate safeguards may include:

- Adequacy decision of the European Commission in accordance with Article 45 of the GDPR is in place;
- Legally binding and enforceable instruments between public authorities/bodies;
- Binding corporate rules;
- Standard data protection clauses adopted by a Supervisory Authority and approved or adopted by the EU Commission;
- Standard contractual clauses between controller/processor and recipient in the third country or international organisation

10.0 How long do we keep your data

Records created through participation in the Access and Inclusion Model will be retained in accordance with the National Archives Act 1986 and in line with the Department's Record Retention and Disposal Policy and Procedure. Records may include personal data which has been processed by the Department in the course of its work.

Data protection best practice requires that organisations should not store personal data for any longer than is required. The length of time in respect of which we keep personal data depends on the processing operation carried out with the data, as detailed below:

Successful applications to the programme will be retained for 7 years from the last day of the year in which any final payment was made to your ELC service.

Unsuccessful applications to the scheme will be retained for 2 years from the last day of the month in which the rejection of the application was notified to you.

11.0 Where is your data stored

Personal data is stored electronically on the Pobal managed ICT systems. These systems are protected by anti-virus and anti-malware software hosted on a secure network.

12.0 How is your data kept secure

The following measures are in place to ensure that any of your personal data we process, or processed by third parties on our behalf, is kept secure:

The Department have appropriate safeguards in place to ensure that personal data is only accessible to those who need access to it to carry out their functions. All information that you supply is inputted on to our secure EYP-CRM/HIVE system and is encrypted at rest and in transit.

To prevent unauthorised access, maintain data accuracy, and ensure the correct use of information, we have put into place appropriate physical, electronic, and managerial procedures to safeguard and secure the information we collect online.

These include the following safeguards:

- The service provider with whom you will be completing the applications with has their own user account and confidential password for accessing HIVE.
- Prior to completing the applications, the service provider can print a copy of the profile to share with you giving you the opportunity to read through it before completing it on-line.
- Once the form with the PPSN is submitted to HIVE, the PPSN is replaced with a Child Unique Identifier and your child's PPSN is no longer visible. This is to keep it confidential.
- Before submitting a profile on HIVE, the pre-school service can print copies of the completed profile, one for you to keep as parent/guardian/carer and one for the child's inclusion plan in the pre-school. Your child's PPSN will not appear on the printed copy.
- Once the profile is submitted on HIVE, it is only viewable again by the ELC staff member who completed the profile with you or the Primary Authorised User (including AIM Primary Authorised User). Personal information will not be viewable by any other ELC staff using HIVE. If this ELC staff member leaves the service, then a new staff member will be appointed to access the HIVE system.

Other controls include:

- Robust and multi-layered security of servers and applications
- Multiple layers of internal and external firewalls which protect our online environments
- Regular reviews of our security practices and technology updates
- Use of Secure Socket Layer (SSL) 128-bit encryption to protect the information you send or receive from our secure sites
- Use of encrypted password log-on to Pobal/Better Start website to help safeguard against unauthorised access to your and your child's details.

In relation to information kept in hard copy format, DCEDIY have standards in place protecting personal data from unauthorised access when in use and in storage and also to protect from inadvertent destruction, amendment or corruption. Personal manual data is kept securely in locked cabinets, locked rooms or rooms with limited or restricted access.

There is a data processing agreement between DCEDIY and Pobal which governs the processing of personal data.

13.0 Your rights on your data

You have the following rights, in certain circumstances and subject to certain restrictions, in relation to your Personal Data:

The **right to be informed** about the processing of your personal data;

Right of access: The right to access your Personal Data;

Right to rectification: The right to request the rectification and/or erasure of your Personal Data in certain circumstances;

Right to restriction of processing: The right to restrict the use of your Personal Data in certain circumstances;

Right to object: The right to object to the processing of your Personal Data;

Rights relating to automated decision-making, including profiling: You have the right not to be subject to a decision based solely on automated processing, where such decisions would have a legal or significant effect

concerning you. We will ensure that where systems or processes utilise automated decision-making or profiling, an appropriate right of review and appeal is available to you.

Right to erasure ('right to be forgotten'): The right to be forgotten will be restricted on the basis that the records processed as part of the Access and Inclusion Model are subject to archival obligations as set for the Department by the National Archives.

In you wish to exercise any of these rights, or if you are concerned about what we do with your personal data or require any additional information in respect of any of our data protection policies, you can contact the Data Protection Officer (DPO) in any of the following ways:

By email:	dpocontact@equality.gov.ie	
By phone:	(01) 647 3183	
By post:	Data Protection Officer,	
	The Department of Children, Equality, Disability, Integration and Youth,	
	Block 1, Miesian Plaza	
	50 – 58 Baggot Street,	
	Dublin 2,	
	D02 XW14	

14.0 How to make a complaint

In addition to the rights highlighted above, you also have the right to lodge a complaint about how we handle your personal data to the competent supervisory authority, which in Ireland is the Data Protection Commission (DPC).

The DPC can be contacted as follows:

By email:	info@dataprotection.ie	info@dataprotection.ie		
By phone:	(01) 765 01 00	1800 437 737		
By post:	Data Protection Commission	Canal House		
	21 Fitzwilliam Square South	Station Road		
	Dublin 2	Portarlington		
	D02 RD28	R32 AP23 Co. Laois		

15.0 Changes to this Privacy Notice

This Privacy Notice will be kept under review and is subject to change. Any changes will be reflected in an updated Privacy Notice published at <u>Better Start/ AIM - Documents - Service Provider Portal (ncs.gov.ie)</u>

16.0 Questions or Feedback

We hope you have a clearer understanding of how your personal data in relation to participation in the Access and Inclusion Model and of how you can exercise your rights.

If you have any questions or comments on this notice, please contact the Access and Inclusion Unit or the Data Protection Officer using the contact details outlined above.